02-20-01

Express Mail Mailing Label No. EL302645143US

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	SRT-014 (5049/23)
First Named Inventor	Green, Jr., et al.
Title	Implementing Standards-Based File Operations in Proprietary Operating Systems

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
ADDRESS TO: **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231**	
1. ☒ Fee Transmittal Form	
2. ☐ Small Entity Status ☐ Applicant claims small entity status ☐ Status established in prior application and is still proper and desired	
3. ☒ Specification and Drawings [Total Pages 20] - Written Description - (10 pages) - Claims - (5 pages) - Abstract - (1 page) - Sheets of Drawings - (4 sheets) ☒ Formal ☐ Informal	8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
	9. ☐ English Translation Document *(if applicable)*
	10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations (A1-A16)
4. ☒ Oath or Declaration [Total Pages 3] a. ☒ Newly executed (original) b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional with Box 17 completed)* ***[Note Box 5 below]***	11. ☐ Preliminary Amendment ☐ Drawings [Total Sheets] ☐ Letter to Official Draftsperson Including Drawings [Total Pages]
	12. ☒ Return Receipt Postcard
5. ☐ Incorporation by Reference (usable if Box 4b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	13. ☐ Certified Copy of Priority Document(s)
	14. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application.
6. ☒ Application Data Sheet	15. ☐ CD in duplicate for large table or computer program.
7. ☐ Nucleotide and/or Amino Acid Sequence Submission ☐ Computer Readable Copy ☐ Paper Copy (identical to computer copy) ☐ CD (identical to computer copy) ☐ Statement verifying identity of above copies	16. ☐ Other:

17. ☐ **If a CONTINUING APPLICATION,** amend the specification by inserting on page 1, before the first line, the sentence: --This is a

☐ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. / , filed on , , the entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: _____. Group/Art Unit: _____.

18. ☐ **Priority - 35 U.S.C. 119**

☐ Priority of application Serial No. _____ filed on _____ in_____ is claimed under 35 U.S.C. 119.

☐ The certified copy has been filed in prior U.S. application Serial No. _____/_____ on _____.

☐ The certified copy will follow.

CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	Respectfully submitted, Date: February 16, 2001 Reg. No. 40,060 Tel. No.: (617) 248-7604 Fax No.: (617) 248-7100 John D. Lanza Attorney for Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110

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Ex[redacted]s Mail No. EL302645143US

FEE TRANSMITTAL
FY 2001

	Complete if Known
Application Serial Number	Not Yet Assigned
Filing Date	Filed Herewith
First Named Inventor	Green, Jr.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	SRT-014 (5049/23)

METHOD OF PAYMENT

1. ☒ Payment is Enclosed:
   ☒ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
   - ☐ Required Fees (copy of this sheet enclosed).
   - ☒ Additional fee required under 37 CFR 1.16 and 1.17.
   - ☐ Overpayment Credit.

3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity Fee ($)	Fee Description	Fee Paid
710	Utility filing fee	710.00
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	30	- 20 = 10	x $ 18.00 =	180.00
Independent Claims	5	- 3 = 2	x $ 80.00 =	160.00
☐ Multiple Dependent Claim(s), if any			$270.00 =	
			TOTAL:	1050.00
			SMALL ENTITY DISCOUNT:	
			SUBTOTAL (1)	($) 1050.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x $ 18.00 =	
Indep.	-	=	x $ 80.00 =	
☐ First Presentation of Multiple Dep. Claim			+ $270.00 =	
			TOTAL:	($)
			SMALL ENTITY DISCOUNT:	($)
			SUBTOTAL (2)	($) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee ($)	Small Entity Fee ($)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) ($) 0.00

SUBTOTAL (1)	1050.00
SUBTOTAL (2)	0.00
SUBTOTAL (3)	0.00
TOTAL	($) 1050.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:

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High Street Tower-125 High Street
Boston, MA 02110
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SIGNATURE BLOCK

Respectfully submitted,

Date: February 16, 2001
Reg. No.: 40,060
Tel. No.: (617) 248-7604
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